



UCLA

Statistical Methods for Bulk and Single-cell RNA Sequencing Data

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The central dogma of molecular biology

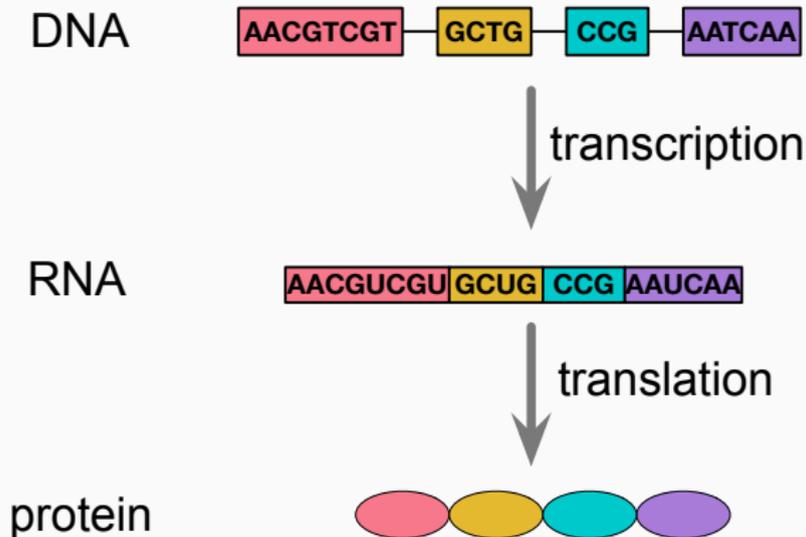
2018 marks the 60th anniversary of the [central dogma](#):
DNA makes RNA makes proteins.



Francis Crick speaking at the 1963 CSH Symposium [Cobb, *PLoS Biology*, 2017]

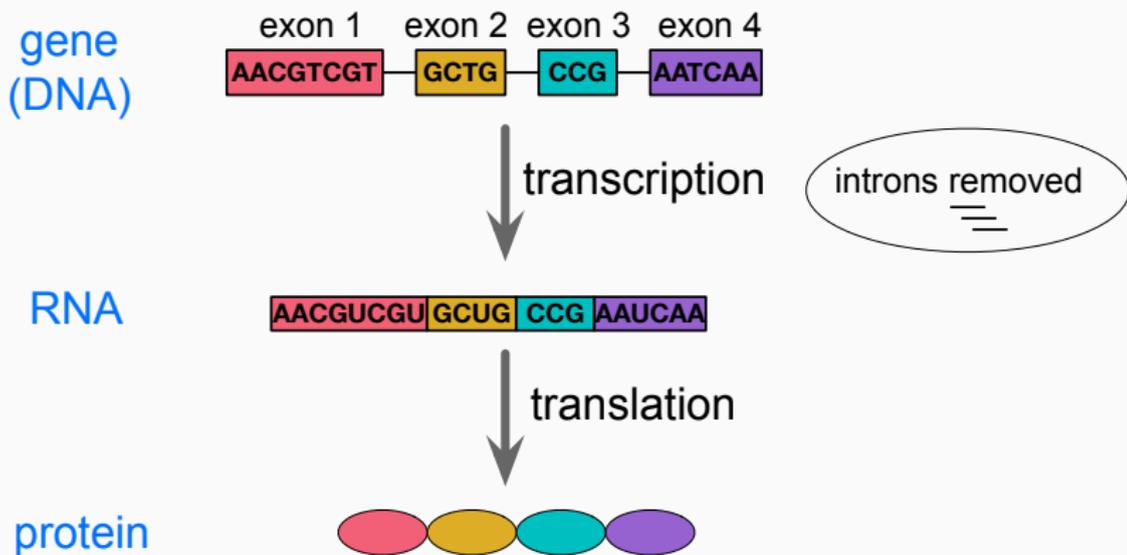
The central dogma of molecular biology

The **central dogma** of molecular biology:
DNA makes RNA makes proteins.

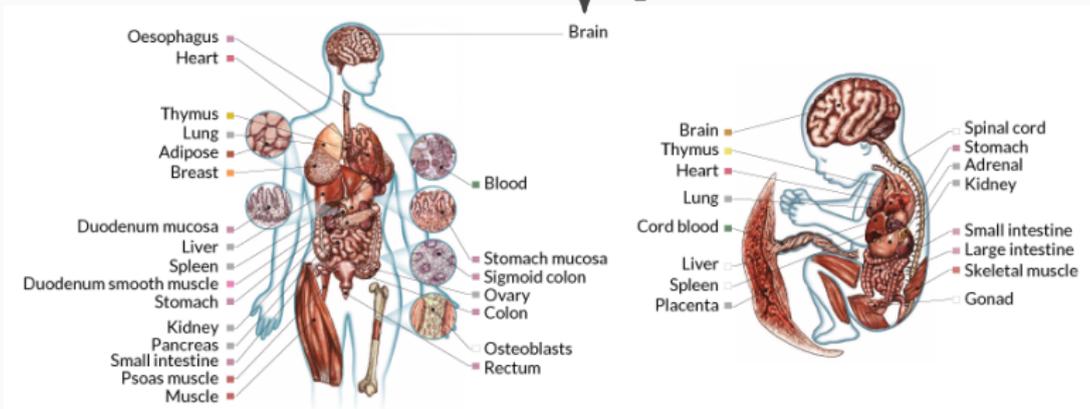


The central dogma of molecular biology

In transcription, a particular segment of DNA (combinations of exons) is copied into RNA segments.



Understanding genome functions



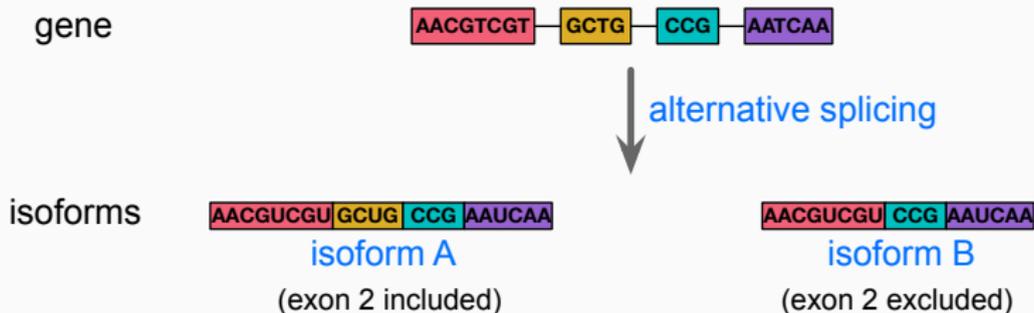
[Kundaje et al., *Nature*, 2015]

Understanding genome functions



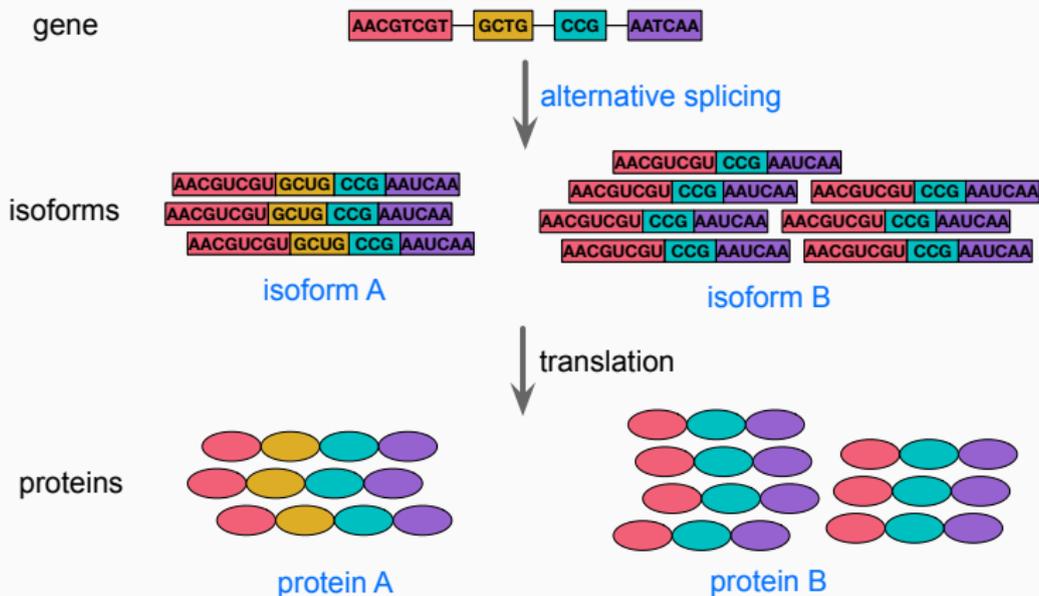
Alternative splicing

In alternative splicing, particular exons of a gene may be included into or excluded from a mature RNA isoform [Chow et al., *Cell*, 1977].



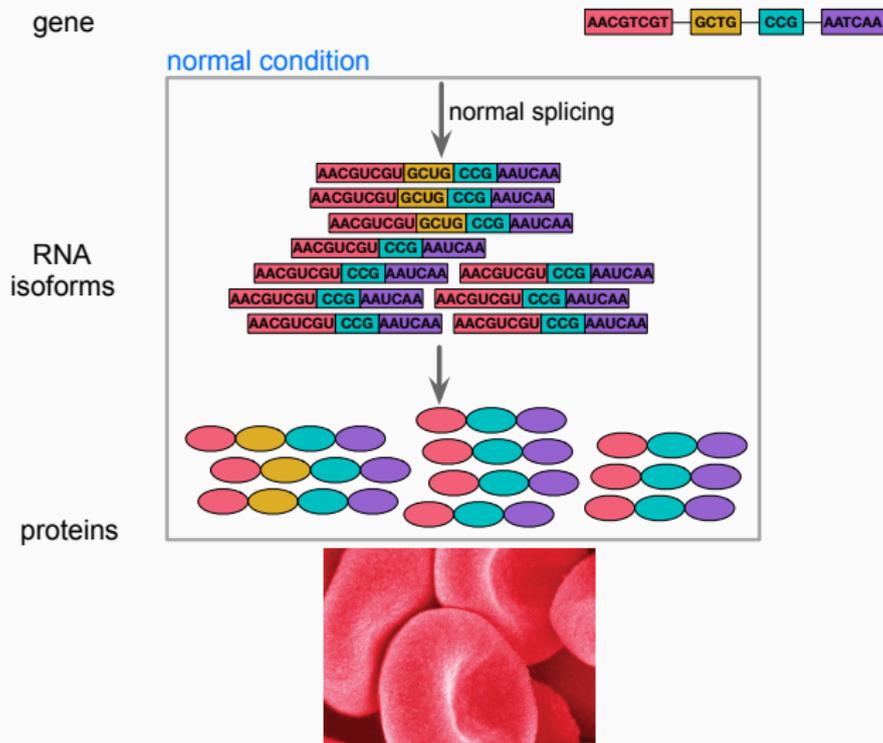
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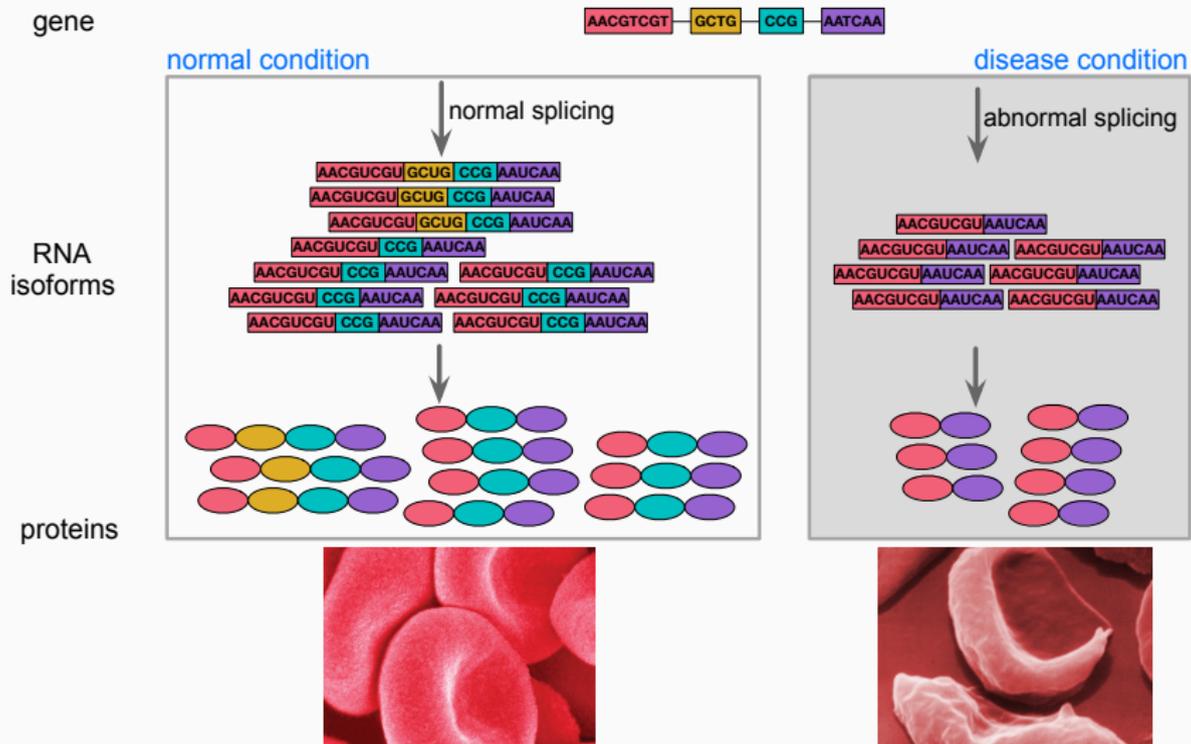
Diversity in RNA isoform structures

Abnormal splicing can lead to genetic diseases.

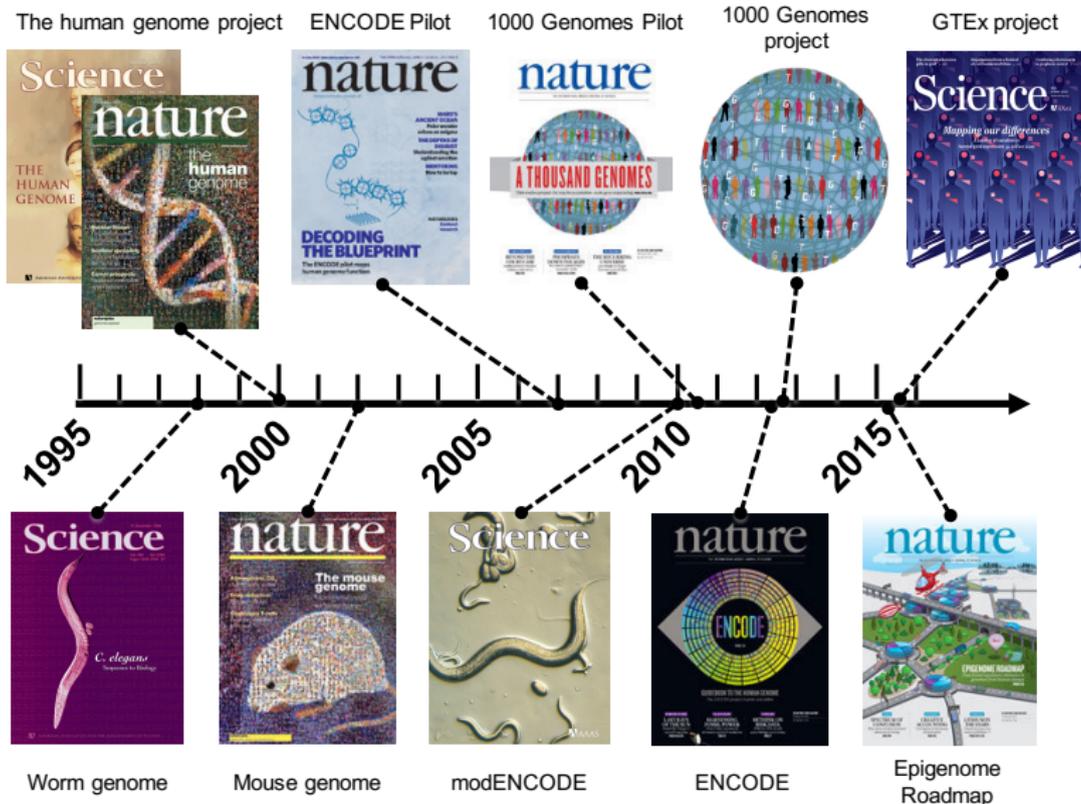


Diversity in RNA isoform structures

Abnormal splicing can lead to genetic diseases.



Understanding genome functions



RNA sequencing (RNA-seq) technology

full length RNA isoforms
(unknown)

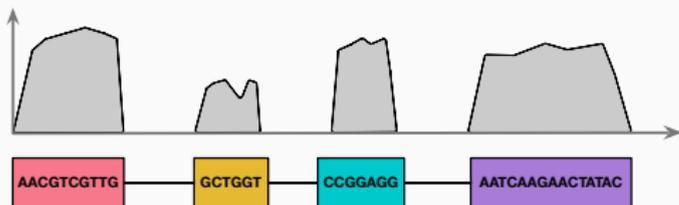
AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC
AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC
AACGUCGUUG GCUGGU CCGGAGG ...
AACGUCGUUG GCUGGU CCGGAGG



RNA-seq
experiments

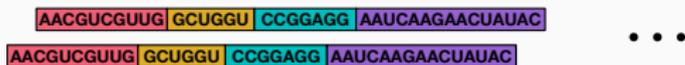
statistical
inference

RNA-seq data
(observed)



RNA sequencing (RNA-seq) experiment

full length RNA isoforms
(1712 bp on average)



↓
fragmentation

RNA fragments
(< 600 bp)



RNA sequencing (RNA-seq) experiment

full length RNA isoforms
(1712 bp on average)

AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC ...
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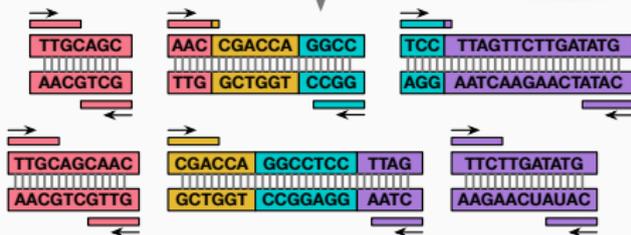
fragmentation

RNA fragments
(< 600 bp)

AACGUCG UUG GCUGGU CCGG AGG AAUCAAGAACUAUAC ...
AACGUCGUUG GCUGGU CCGGAGG AAUC AAGAACUAUAC ...

processing

sequencing



RNA sequencing (RNA-seq) experiment

full length RNA isoforms
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AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC ...
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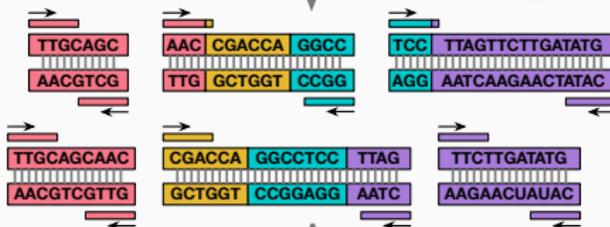
RNA fragments
(< 600 bp)

AACGUCG UUG GCUGGU CCGG AGG AAUCAAGAACUAUAC ...
AACGUCGUUG GCUGGU CCGGAGG AAUC AAGAACUAUAC

fragmentation

processing

sequencing



RNA-seq reads
(< 300 bp)

AACG --- CAGC TTG G --- GGCC AGG A --- TATG ...
AACG --- CAAC GCTG --- TTAG AAGA --- TATG

RNA-seq reads \propto isoform abundance \times isoform length

Mapping RNA-seq reads to the reference genome

full length RNA isoforms
(1712 bp on average)

AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC ...
AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUAUAC

processing

sequencing

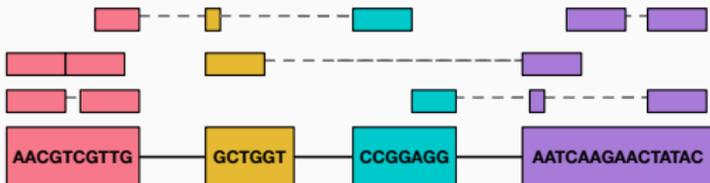


RNA-seq reads
(< 300 bp)

AACG --- CAGC --- TTG G --- GGCC --- AGG A --- TATG ...
AACG --- CAAC --- GCTG --- TTAG --- AAGA --- TATG

mapping (alignment)

RNA-seq reads
aligned to genome



Mapping RNA-seq reads to the reference genome

full length RNA isoforms
(1712 bp on average)

AACGUCGUUG GCUGGU CCGGAGG AAUCAAGAACUUAUC ...
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processing ↓ sequencing

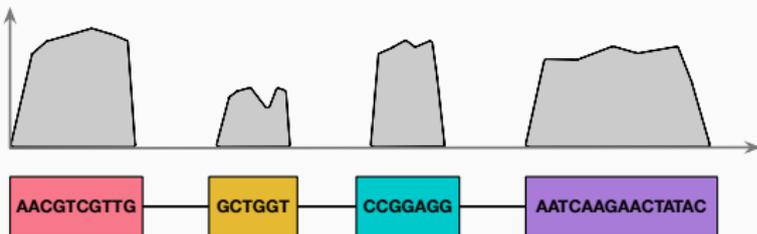


RNA-seq reads
(< 300 bp)

AACG --- CAGC TTG G --- GGCC AGG A --- TATG ...
AACG --- CAAC GCTG --- TTAG AAGA --- TATG ...

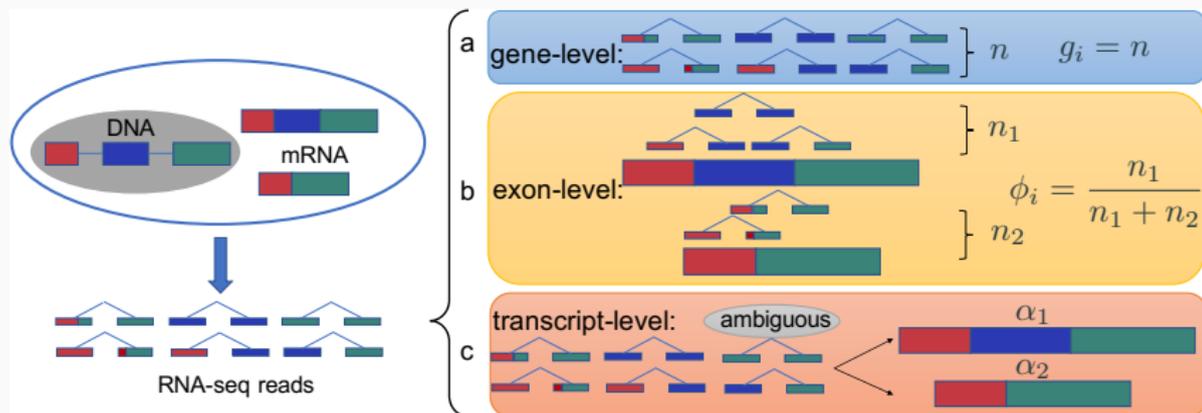
mapping (alignment) ↓

histogram of
RNA-seq read counts

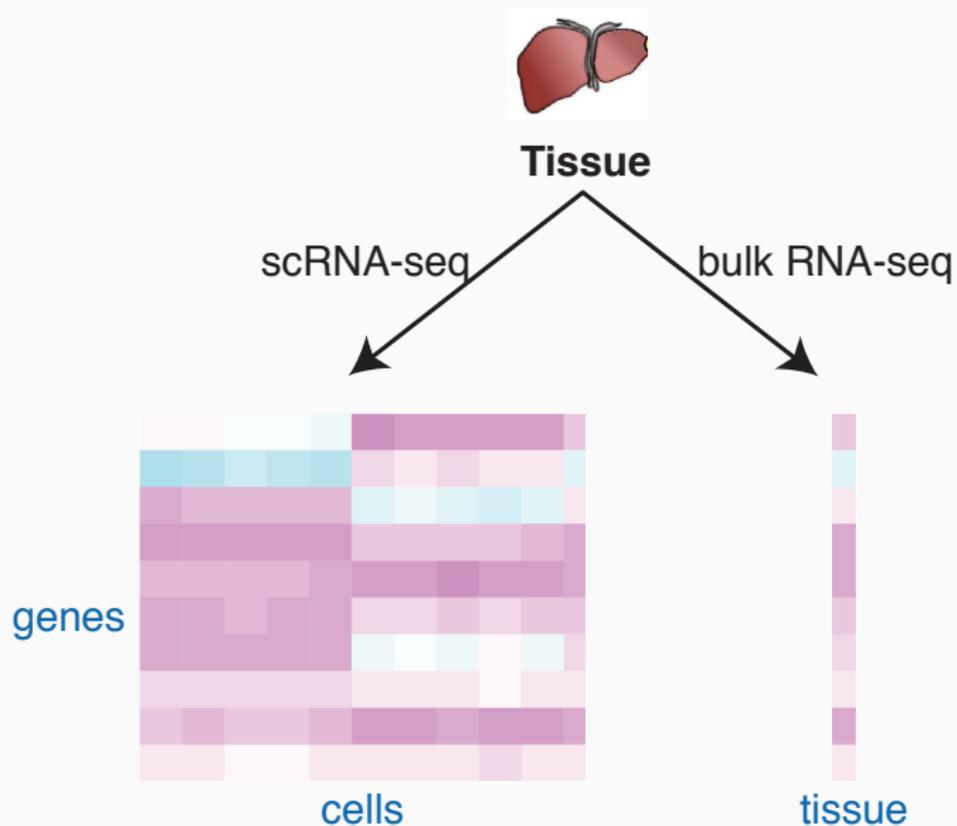


Reference-based RNA-seq data analysis

1. Align RNA-seq reads to a reference genome
2. Analyze aligned reads at three levels

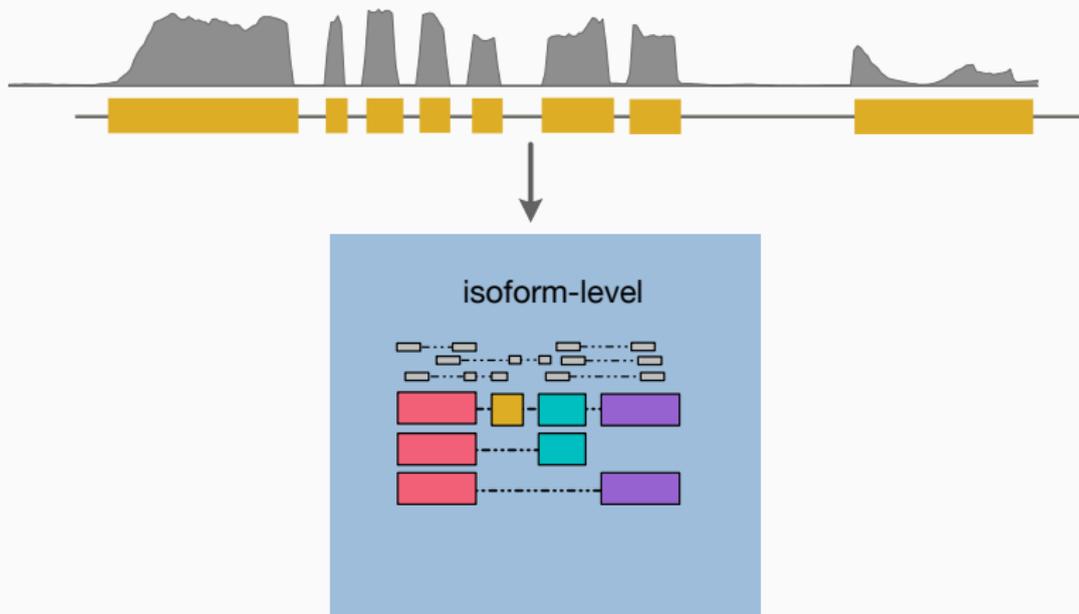


Single-cell (sc) vs. bulk RNA-seq at the gene level



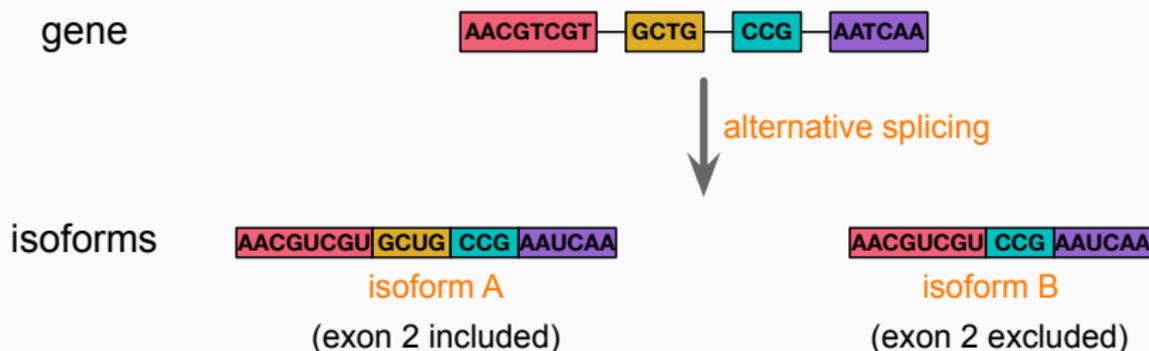
Bulk RNA-seq: transcript/isoform discovery & quantification

AIDE: annotation-assisted isoform discovery



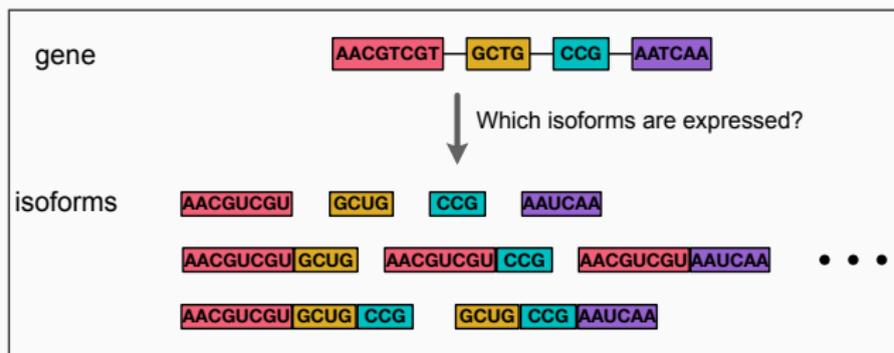
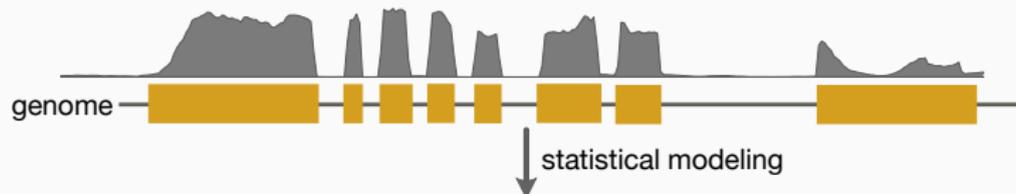
Isoform discovery: which isoforms are expressed?

- More than 90% genes undergo alternative splicing in mammals [Hooper, *Human Genomics*, 2014].
- At least 35% genetic diseases involve abnormal splicing [Manning et al., *Nature Reviews Mol. Cell Biol.* 2017].



Isoform discovery: which isoforms are expressed?

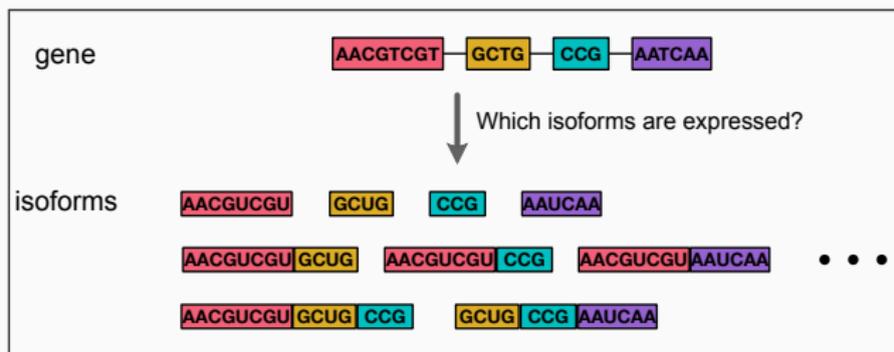
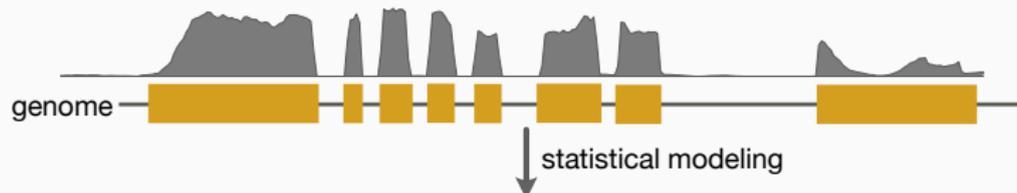
RNA-seq data



Challenge 1: large number of candidate isoforms

Variable size (# of candidate isoforms) = $2^{\# \text{ of exons}} - 1$

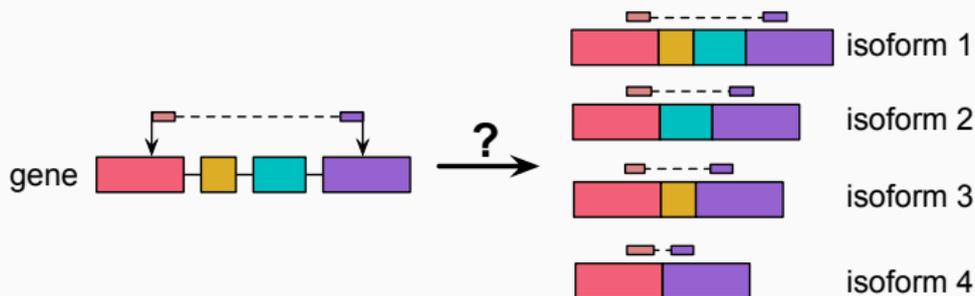
RNA-seq data



For this 4-exon gene, $2^4 - 1 = 15$ candidate isoforms

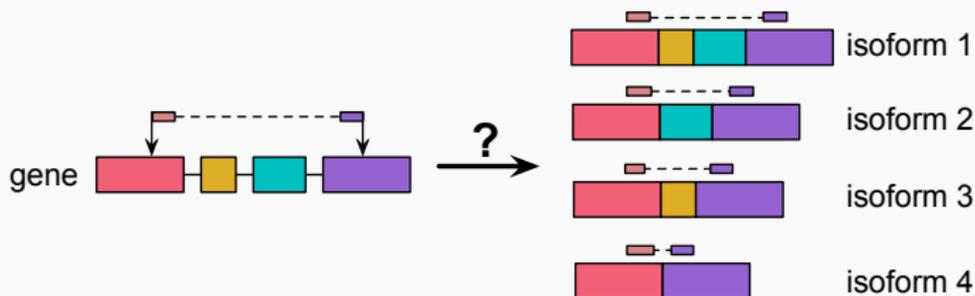
Challenge 2: great information loss

- RNA-seq reads are very short compared with full-length isoforms.
- Most RNA-seq reads do not uniquely map to a single isoform.



Challenge 2: great information loss

- RNA-seq reads are very short compared with full-length isoforms.
- Most RNA-seq reads do not uniquely map to a single isoform.



- Technical biases introduced into RNA-seq experiments.

Existing isoform discovery methods

State-of-the-art methods for isoform discovery:

- SIIER [Jiang et al., *Bioinformatics*, 2009]
- Cufflinks [Trapnell et al., *Nature Biotechnology*, 2010]
- SLIDE [Li et al., *Proc. Natl. Acad. Sci.* 2011]
- StringTie [Pertea et al., *Nature Biotechnology*, 2015]
- ...

Limitations:

1. Low accuracy for genes with complex splicing structures.
2. Difficult to improve isoform-level performance.
[Kanitz et al., *Genome Biology*, 2015]
3. Usage of annotations results in false positives.

Usage of annotations results in false positives

Annotated isoforms are experimentally validated:



- *Ensembl* database: 203,903 isoforms
[Zerbino et al., *Nucleic Acids Research*, 2017]

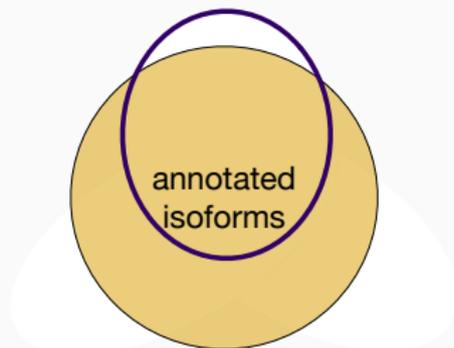
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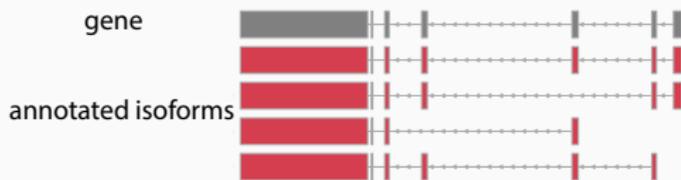
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expressed isoforms in normal brain

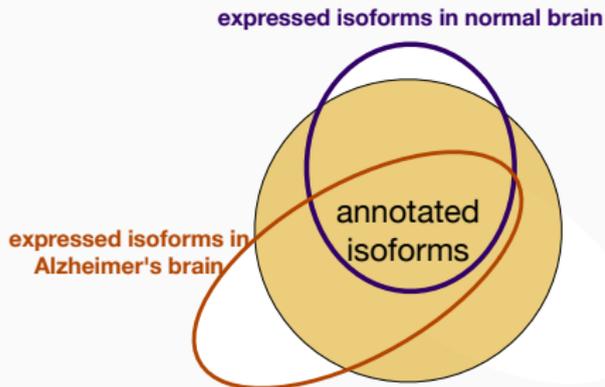


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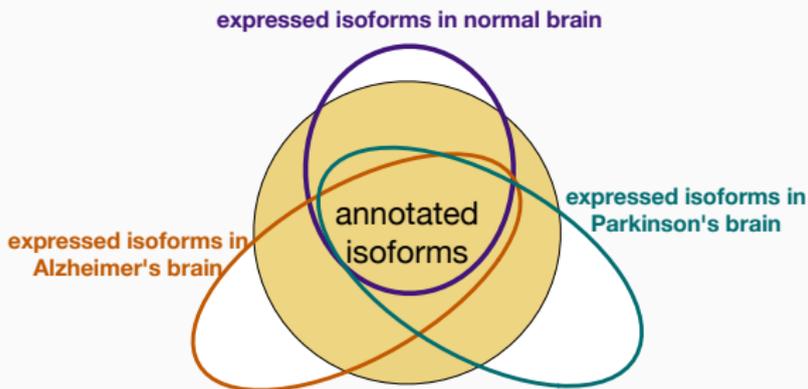


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False positives → false discoveries

Essay

Why Most Published Research Findings Are False

John P.A. Ioannidis

68,836

Save

3,644

Citation

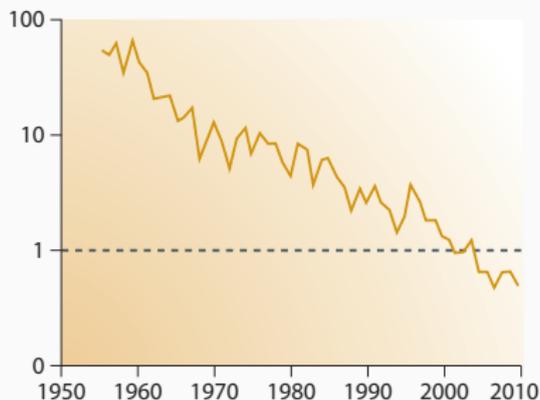
2,622,757

View

10,479

Share

Number of drugs per billion US\$ R&D spending



[Scannell et al., *Nat. Rev. Drug Discov.* 2012]

Highlights of the AIDE method

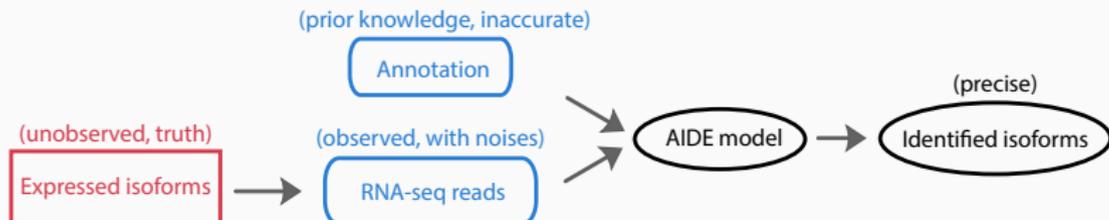
1. **Selectively leverage annotation information** to increase the precision and robustness of isoform discovery.

Highlights of the AIDE method

1. **Selectively leverage annotation information** to increase the precision and robustness of isoform discovery.
2. Practical probabilistic model to **account for technical biases**.
3. **Conservatively** identify isoforms that make statistically significant contributions to explaining the observed RNA-seq reads.

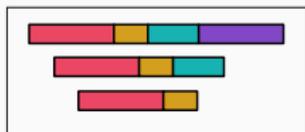
Highlights of the AIDE method

1. **Selectively leverage annotation information** to increase the precision and robustness of isoform discovery.
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4. **First method to control false discoveries** by employing a statistical testing procedure.

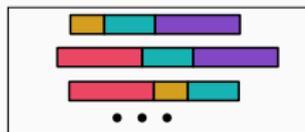


The stepwise selection in AIDE: two stages

annotated isoforms:



non-annotated isoforms:

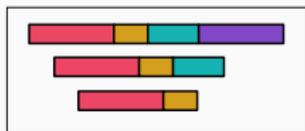


Stage 1: candidates are annotated isoforms only

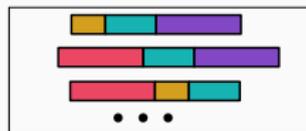
Initialization \longrightarrow Forward step \rightleftharpoons Backward step

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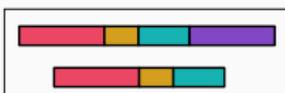
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Initialization

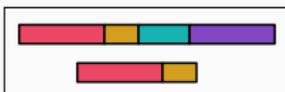


Forward step

Backward step

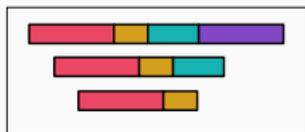


vs.

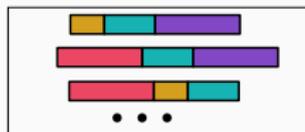


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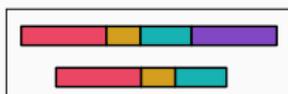
Stage 1: candidates are annotated isoforms only

Initialization



Forward step

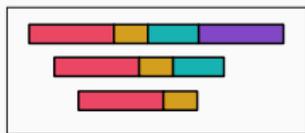
Backward step



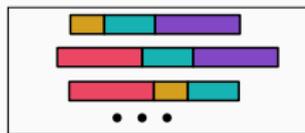
selected based on MLE

The stepwise selection in AIDE: two stages

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non-annotated isoforms:



Stage 1: candidates are annotated isoforms only

Initialization

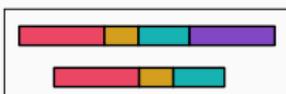


Forward step

Backward step

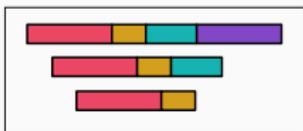


vs.
LRT

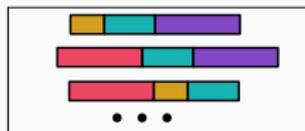


The stepwise selection in AIDE: two stages

annotated isoforms:



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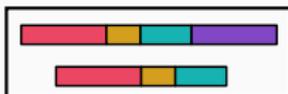


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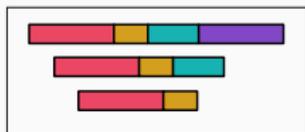
Forward step



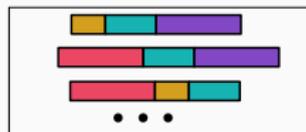
Backward step

The stepwise selection in AIDE: two stages

annotated isoforms:



non-annotated isoforms:



Stage 1: candidates are annotated isoforms only

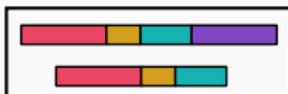
Initialization



Forward step



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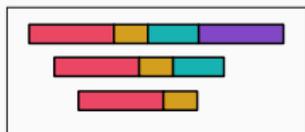


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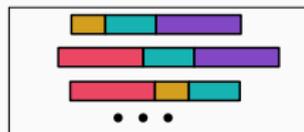


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annotated isoforms:



non-annotated isoforms:



Stage 1: candidates are annotated isoforms only

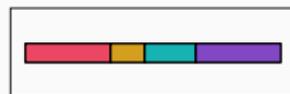
Initialization



Forward step



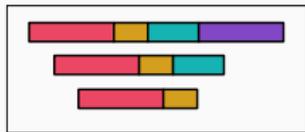
Backward step



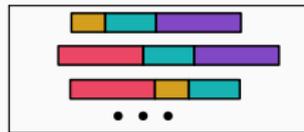
selected based on MLE

The stepwise selection in AIDE: two stages

annotated isoforms:



non-annotated isoforms:



Stage 1: candidates are annotated isoforms only

Initialization



Forward step



Backward step

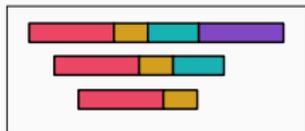


vs.
LRT

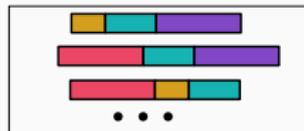


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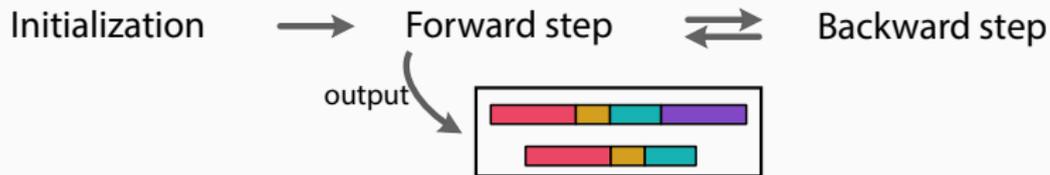
annotated isoforms:



non-annotated isoforms:

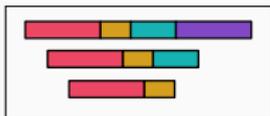


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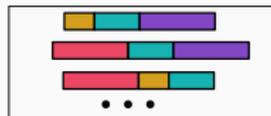


The stepwise selection in AIDE: two stages

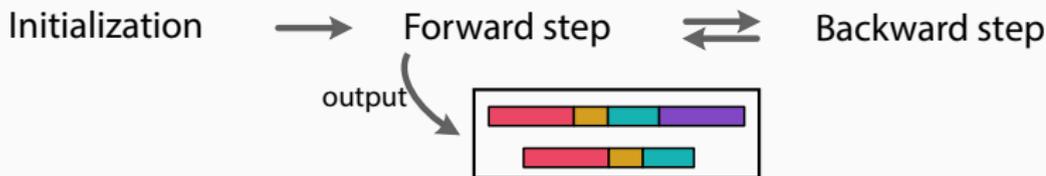
annotated isoforms:



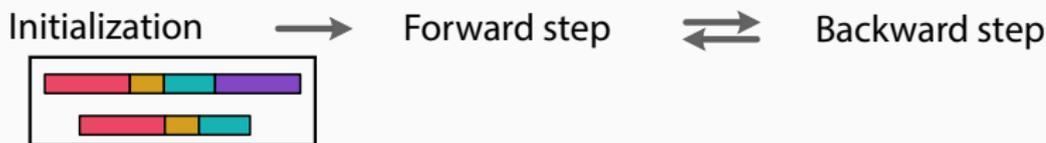
non-annotated isoforms:



Stage 1: candidates are annotated isoforms only

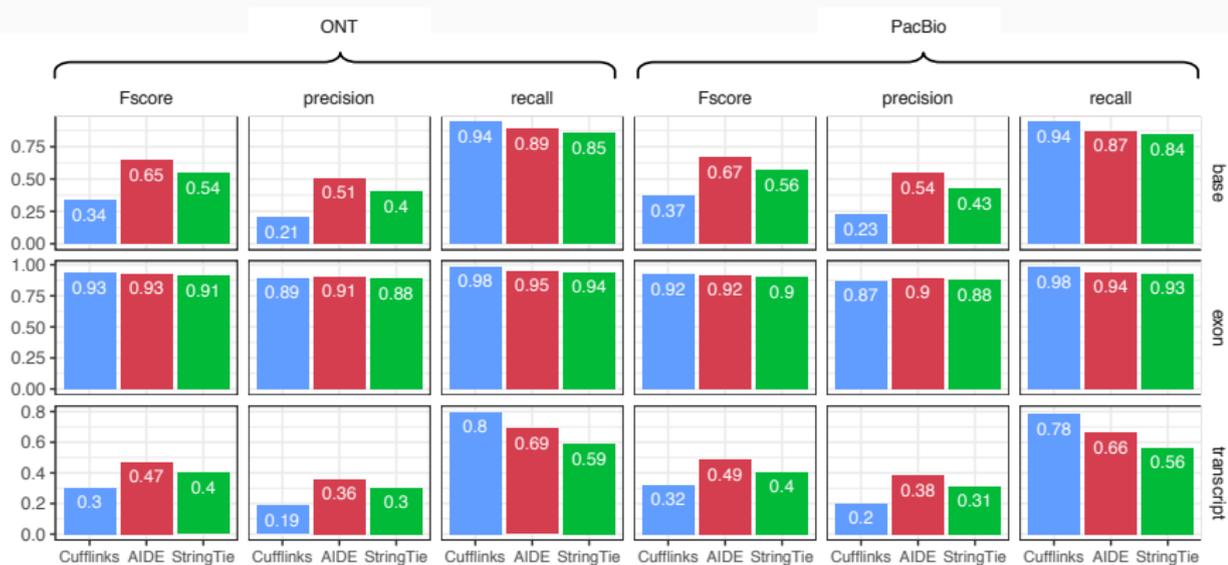


Stage 2: candidates are all possible isoforms



AIDE outperforms state-of-the-art methods

- Human embryonic stem cells
- Input: Illumina RNA-seq data
- Evaluation: PacBio and Nanopore ONT RNA-seq data



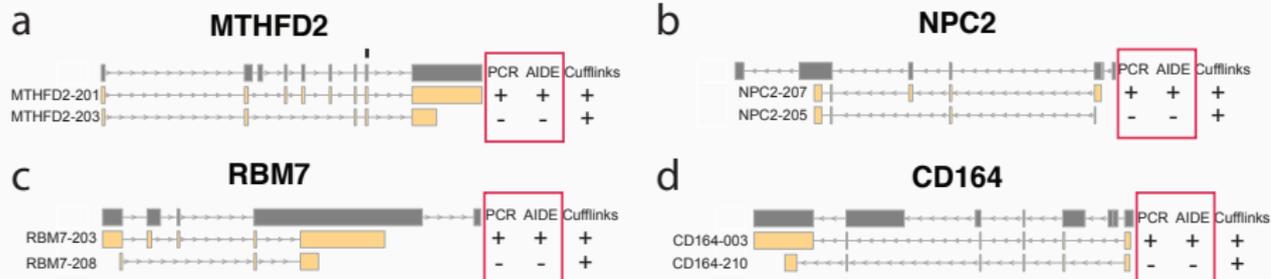
AIDE effectively reduces false discoveries in real data

- Data: breast cancer RNA-seq samples
- Six genes:
 - isoforms identified only by Cufflinks but not by AIDE
 - experimental validation (PCR)

AIDE effectively reduces false discoveries in real data

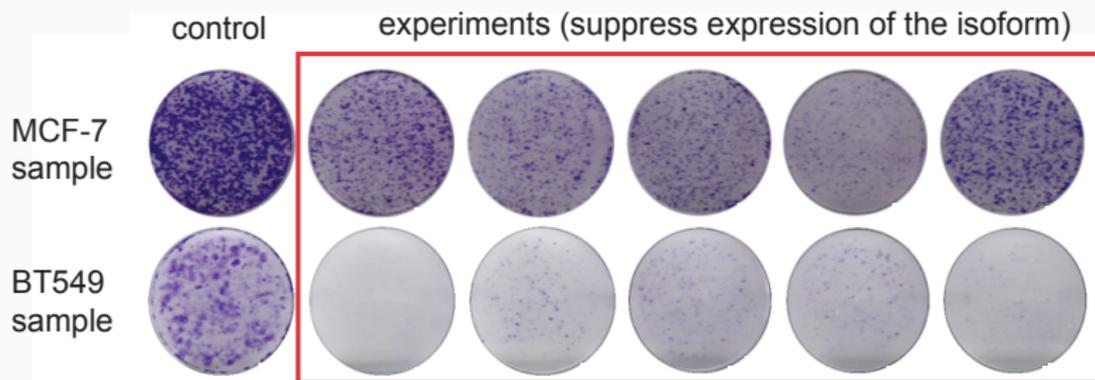
- Data: breast cancer RNA-seq samples
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 - experimental validation (PCR)
- Four genes:

the isoforms uniquely predicted by Cufflinks were false positives



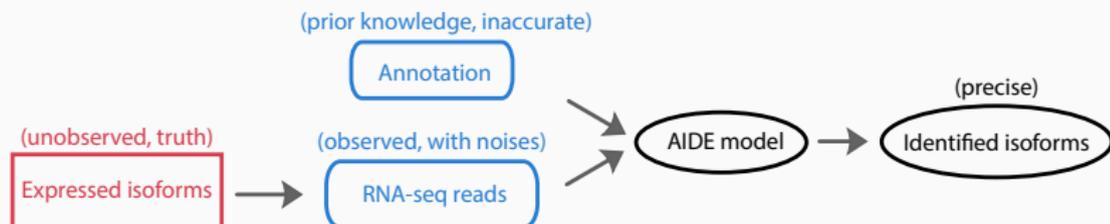
AIDE discovers isoforms with biological significance

FGFR1



Summary of the AIDE method

- The first isoform discovery method that **directly controls false discoveries** by implementing the statistical model selection principle.



- Software: <https://github.com/Vivianstats/AIDE>
- Manuscript:



bioRxiv

THE PREPRINT SERVER FOR BIOLOGY

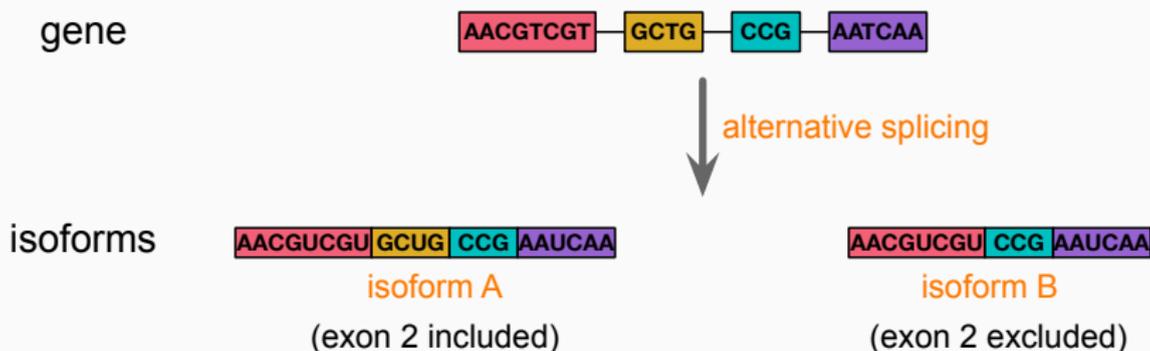
AIDE: annotation-assisted isoform discovery and abundance estimation from RNA-seq data

Wei Vivian Li, Shan Li,  Xin Tong, Ling Deng,  Hubing Shi, Jingyi Jessica Li

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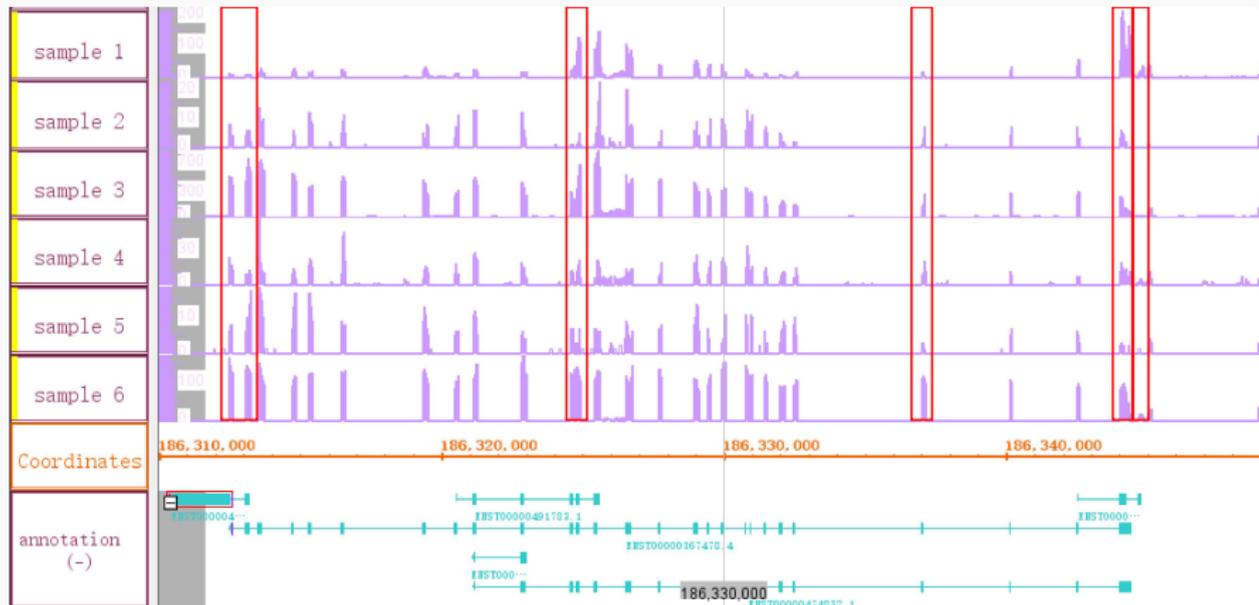
Isoform quantification: what are the isoform expression levels?

- More than 90% genes undergo alternative splicing in mammals [Hooper, *Human Genomics*, 2014].
- At least 35% genetic diseases involve abnormal splicing [Manning et al., *Nature Reviews Mol. Cell Biol.* 2017].



Motivation: multiple human ESC RNA-seq samples

chr1; gene: *TPR*



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- Apply a single-sample method to **a pooled sample from the D samples?**
 - The estimated isoform abundance may be biased by outlier samples

Joint Modeling of **M**ultiple RNA-seq **S**amples for Accurate **I**soform **Q**uantification

Summary

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- MSIQ is able to identify a consistent group of samples that are most representative of the biological condition
- MSIQ increases the accuracy of isoform quantification by incorporating the information from multiple samples
- Our proposed hierarchical model is an umbrella framework that are generalizable to incorporate more delicate consideration of read generating mechanisms

MSIQ: joint modeling of multiple RNA-seq samples for accurate isoform quantification

by Wei Vivian Li, Anqi Zhao, Shihua Zhang, and Jingyi Jessica Li

Annals of Applied Statistics 12(1):510–539

R package MSIQ

<http://github.com/Vivianstats/MSIQ>

Single-cell RNA-seq: dropout imputation

scRNA-seq vs. bulk RNA-seq at the gene level



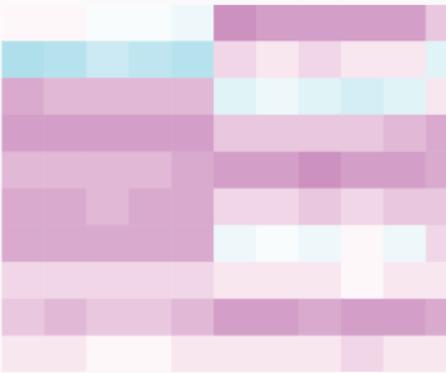
Tissue

scRNA-seq

bulk RNA-seq



genes

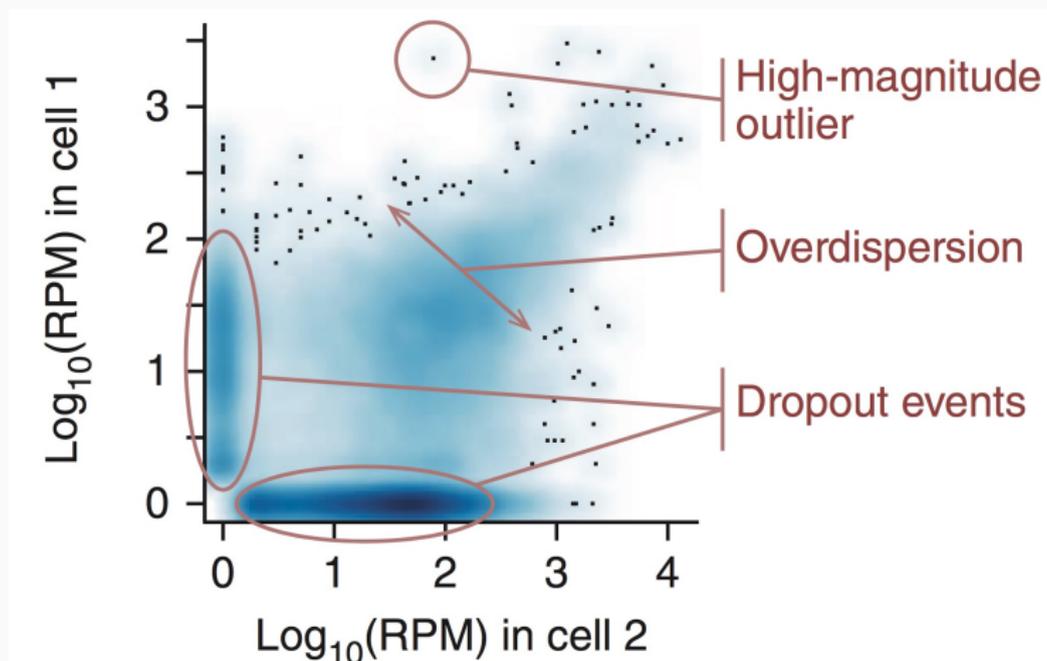


cells



tissue

Dropout events in scRNA-seq



from [Kharchenko et al., *Nature methods*, 2014]

Dropout events in scRNA-seq

- A **dropout** event occurs when a transcript is expressed in a cell but is entirely undetected in its mRNA profile
- Dropout events occur due to low amounts of mRNA in individual cells
- The frequency of dropout events depends on scRNA-seq protocols
 - Fluidigm C1 platform: ~ 100 cells, ~ 1 million reads per cell
 - Droplet microfluidics: $\sim 10,000$ cells, $\sim 100K$ reads per cell [Zilionis et al., *Nature Protocols*, 2017]
- **Trade-off**: given the same budget, more cells, more dropouts

Statistical methods for scRNA-seq data analysis

- Clustering / cell type identification
 - **SNN-Cliq** [Xu et al., *Bioinformatics*, 2015]: uses the ranking of genes to construct a graph and learn cell clusters
 - **CIDR** [Lin et al., *Genome Biology*, 2017]: incorporates implicit imputation of dropout values
- Cell relationship reconstruction
 - **Seurat** [Satija et al., *Nature biotechnology*, 2015]: infers the spatial origins of cells from their scRNA-seq data and a spatial reference map of landmark genes, whose expressions are imputed based on highly variable genes
- Dimension reduction
 - **ZIFA** [Pierson et al., *Genome biology*, 2015]: accounts for dropout events based on an empirical observation: dropout rate of a gene depends on its mean expression level in the population

Why do we need genome-wide explicit imputation methods?

Downstream analyses relying on the accuracy of gene expression measurements:

- differential gene expression analysis
- identification of cell-type-specific genes
- reconstruction of differentiation trajectory

It is important to adjust/correct the false zero expression values due to dropouts

Genome-wide imputation methods for scRNA-seq

MAGIC [Dijk et al., *Cell*, 2018]:

- the first method for explicit and genome-wide imputation of scRNA-seq gene expression data
- imputes missing expression values by sharing information across similar cells
- creates a Markov transition matrix, which determines the weights of the cells

SAVER [Huang et al., *Nature Methods*, 2018]:

- borrows information across genes using a Bayesian approach

DrImpute [Kwak et al., *bioRxiv*, 2017]:

- borrows information across cells by averaging multiple imputation results

and several other recent methods available on bioRxiv

Limitations of aforementioned methods:

- It is not ideal to impute all gene expressions
 - imputing expressions unaffected by dropout would introduce new bias
 - could also eliminate meaningful biological variation
- It is inappropriate to treat all zero expressions as missing values
 - some zero expressions may reflect true biological non-expression
 - zero expressions can be resulted from gene expression stochasticity

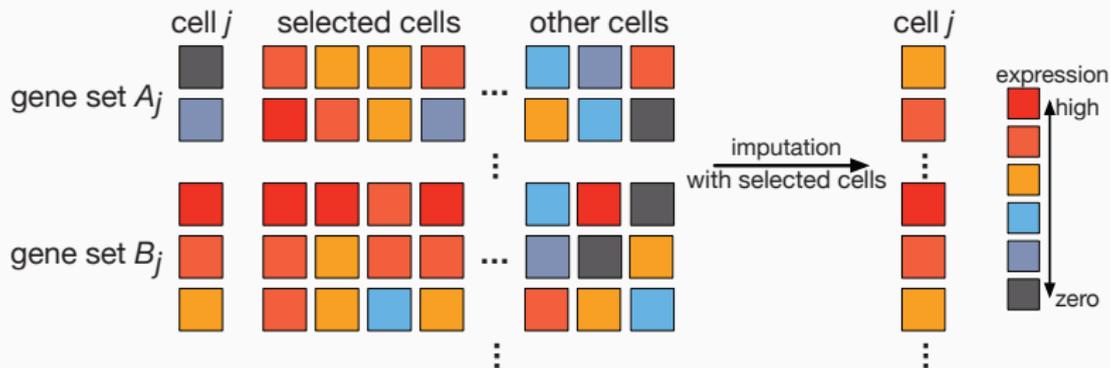
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How to determine which values are affected by the dropout events?

Our method: scImpute

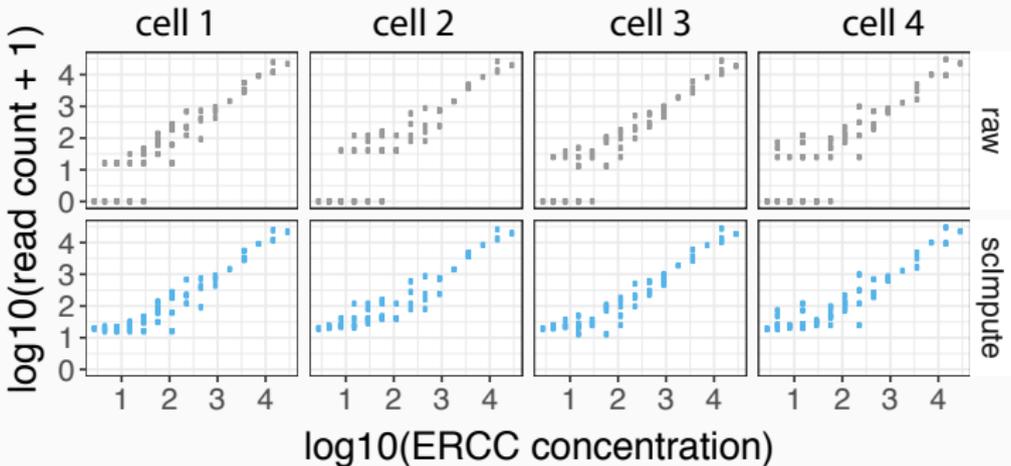
1. For each gene, to determine which expression values are most likely affected by dropout events
2. For each cell, to impute the highly likely dropout values by borrowing information from the same genes' expression in similar cells



Example 1: ERCC spike-ins

scImpute recovers the true expression of the ERCC spike-in transcripts, especially low abundance transcripts that are impacted by dropout events

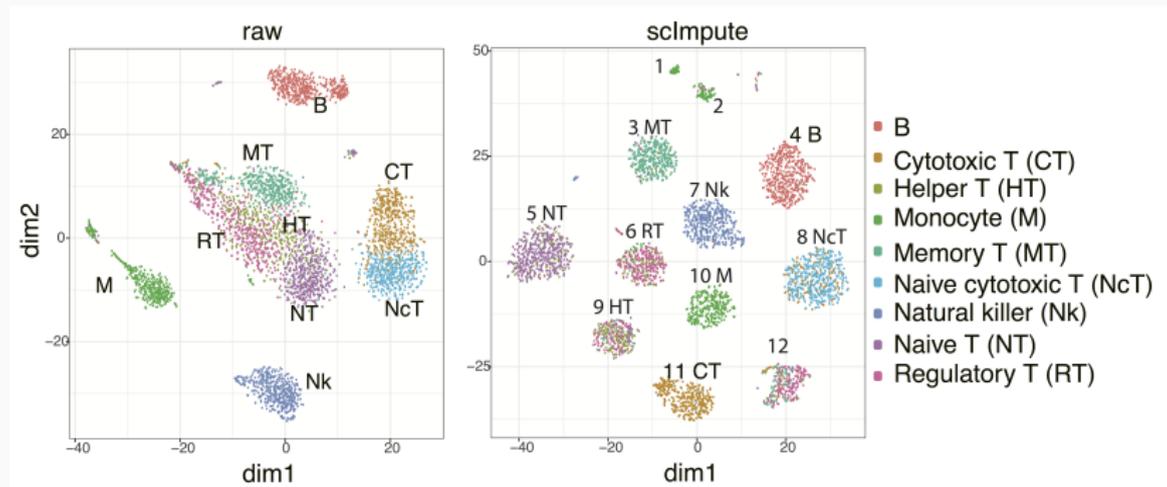
- 3,005 cells from the mouse somatosensory cortex region
- 57 ERCC transcripts



Example 2: cell clustering

4,500 peripheral blood mononuclear cells (PBMCs) from high-throughput droplet-based system 10x genomics [Zheng et al., *Nature communications*, 2017]

Proportion of zero expression is 92.6%



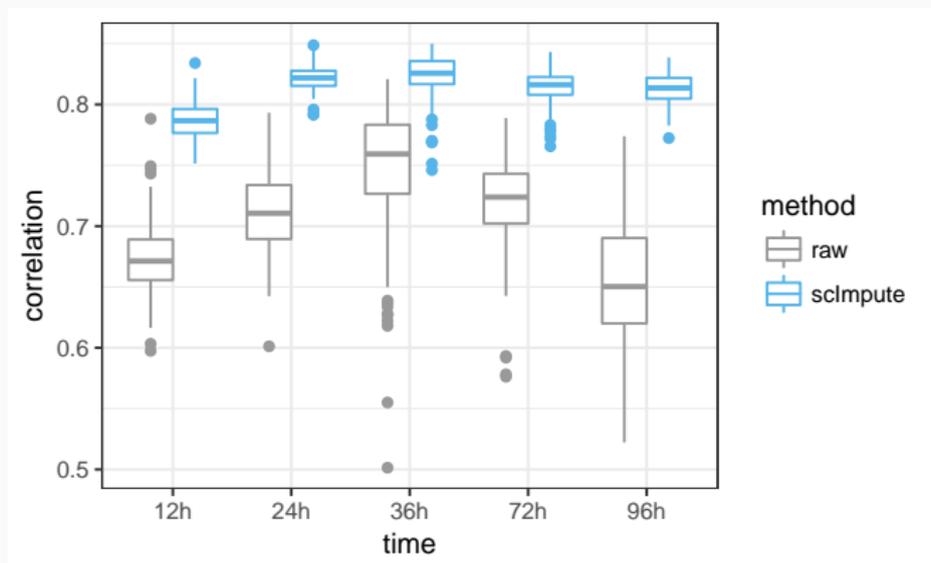
Example 3: gene expression dynamics

Bulk and single-cell time-course RNA-seq data profiled at 0, 12, 24, 36, 72, and 96 h of the differentiation of embryonic stem cells into definitive endoderm cells [Chu et al., *Genome biology*, 2016]

time point	00h	12h	24h	36h	72h	96h	total
scRNA-seq (cells)	92	102	66	172	138	188	758
bulk RNA-seq (replicates)	0	3	3	3	3	3	15

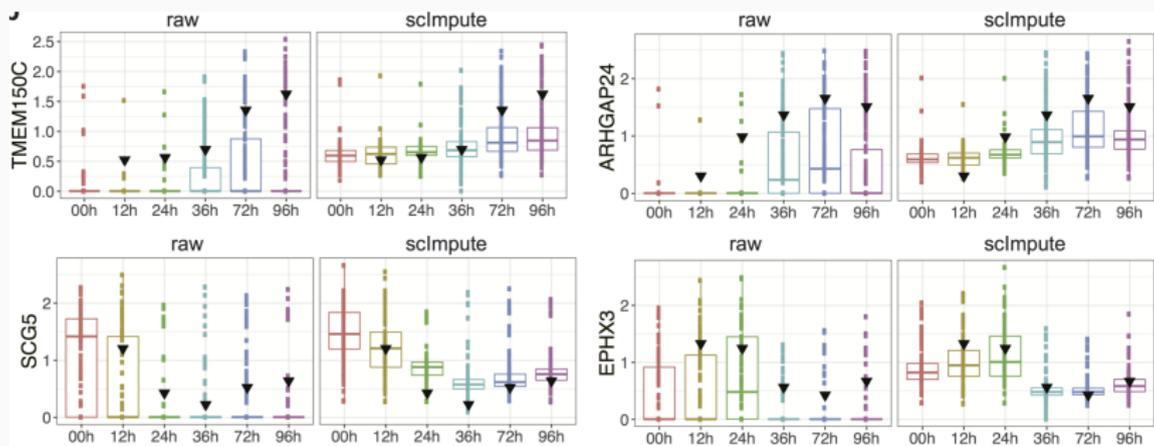
Example 3: gene expression dynamics

Correlation between gene expression in single-cell and bulk data



Example 3: gene expression dynamics

Imputed read counts reflect more accurate gene expression dynamics along the time course



Conclusions

- `scImpute` is a flexible and easily interpretable statistical method that addresses the dropout events prevalent in scRNA-seq data
- `scImpute` focuses on imputing the missing expression values of dropout genes, while retaining the expression levels of genes that are largely unaffected by dropout events
- `scImpute` is compatible with existing pipelines or downstream analysis of scRNA-seq data, such as normalization, differential expression analysis, clustering and classification
- `scImpute` scales up well when the number of cells increases

An accurate and robust imputation method scImpute for single-cell RNA-seq data

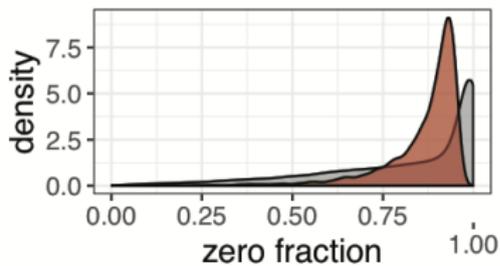
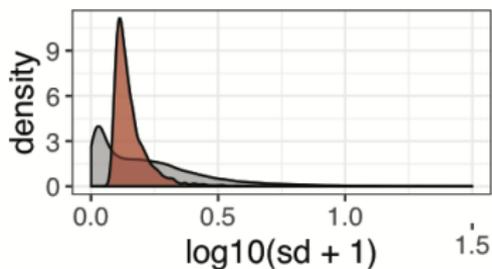
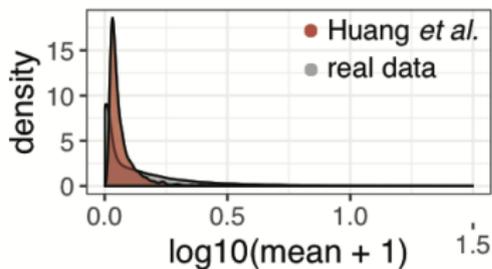
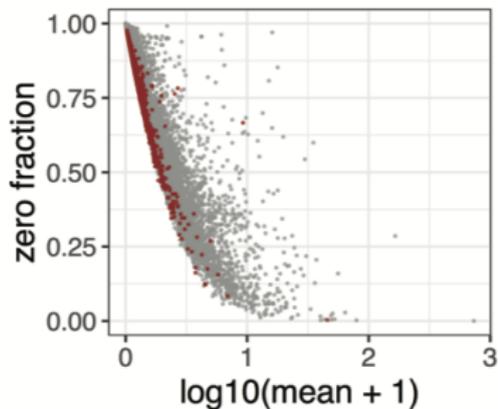
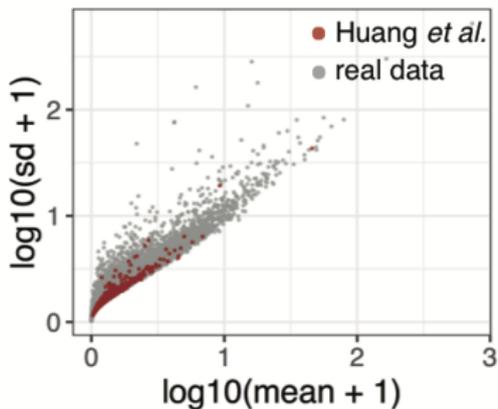
by Wei Vivian Li and Jingyi Jessica Li

Nature Communications 9:997

R package scImpute

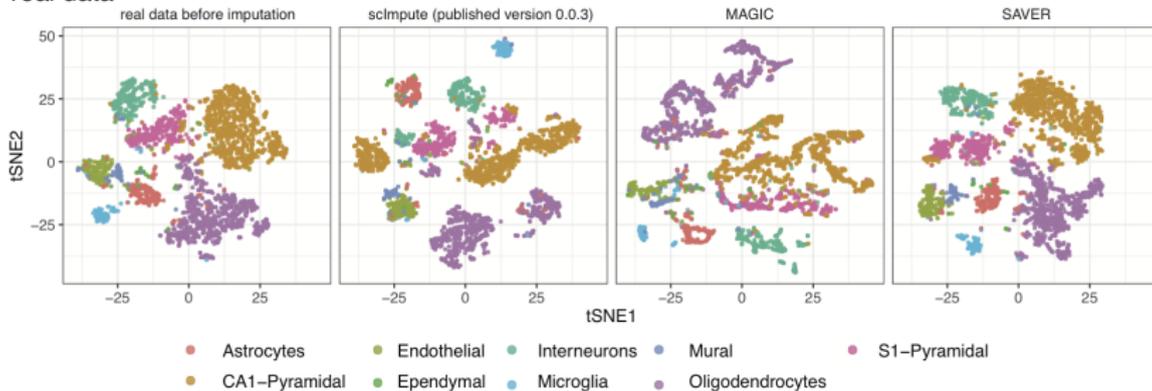
<https://github.com/Vivianstats/scImpute>

Real vs. semi-synthetic data

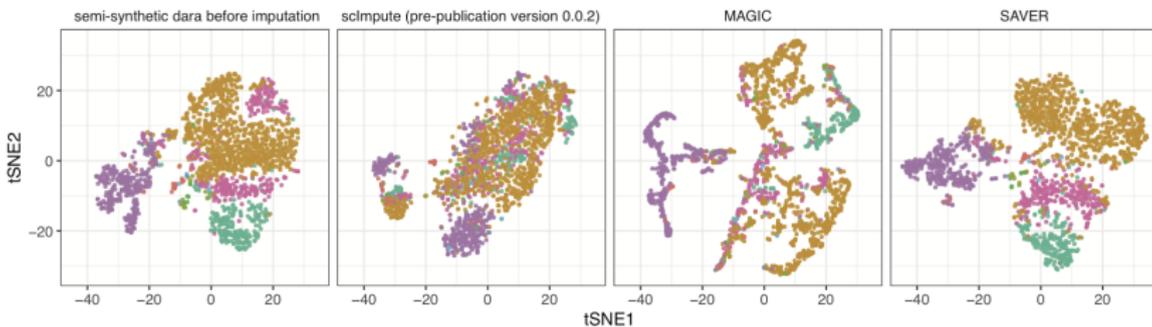


Real vs. semi-synthetic data

real data



Huang *et al.* semi-synthetic data



Benchmark standard

		labels used in Huang <i>et al.</i>						
		0	1	2	3	4	5	6
labels reported in Zeisel <i>et al.</i>	CA1-Pyramidal	442	20	289	1	4	42	40
	S1-Pyramidal	2	273	1	1	0	32	11
	Oligodendrocytes	0	0	0	282	0	62	2
	Interneurons	5	7	2	0	220	6	1
	Endothelial	0	0	0	0	1	0	14
	Microglia	0	0	0	0	0	0	6
	Mural	0	1	0	0	0	0	0
	Ependymal	0	0	0	0	0	0	7
	Astrocytes	0	1	0	2	0	1	20

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